Electronic Acknowledgement Receipt					
EFS ID:	8382219				
Application Number:	10767699				
International Application Number:					
Confirmation Number:	5670				
Title of Invention:	System and method for controlling the disclosure of a trading order				
First Named Inventor/Applicant Name:	Thomas J. Daley				
Customer Number:	63710				
Filer:	Glen Farbanish/Laura Alto				
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Application Type:	Utility under 35 USC 111(a)				
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File Listing:									
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Transmittal Letter	04-6174_100909_IDS.pdf	43397 67562ab861067be0071263002dfte151	no	5				

no

## Warnings:

Information:

2	16 5: 1 6: 1 (95)				
	Information Disclosure Statement (IDS) Filed (SB/08)	04-6174_100909_1449.pdf	25055	no	1
	Filed (2R/08)		86389369cb6415395ad181661f26d9f7306 717a3		
Warnings:					
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3	Foreign Reference	WO_2004-008296A2.pdf	809058	no	20
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Warnings:					
Information:					
4	Foreign Reference	JP_2003-515824.pdf	654261	no	24
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Warnings:					
Information:					
5	NPL Documents	JP_2006-551591_OA_08-24-20	106801	no	4
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Warnings:					
Information:					
6	NPL Documents	JP_2006-551590_OA_08-24-20 10.pdf	164407	no	6
	THE DOCUMENTS		bff6912a79dd2409f79607e657931fbaf731 Sa11		
Warnings:					
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7	Foreign Reference	JP_2002-230304.pdf	1326040	no	18
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Information:					
8	Foreign Reference	JP_2003-331188.pdf	1266784	no	14
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-[d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.